



Markings for GOMACTech Papers

YOU ARE RESPONSIBLE TO ENSURE NO CLASSIFIED INFORMATION IS RELEASED!

I. DEFINITION

Responsibility for correct markings resides with the author, sponsoring government organization and point of contact, and contracting requirements.

No other restrictive markings such as “Confidential” and “Proprietary” will be accepted.

Be aware of how you are sharing your proprietary information.

All unclassified information throughout the Executive Branch that requires any safeguarding or dissemination control is to be labeled as controlled unclassified information (CUI) [1].

The Controlled Unclassified Information Executive Agent (CUI EA) issues guidance to Executive branch departments and agencies that handle unclassified information that requires safeguarding or dissemination controls, pursuant to and consistent with applicable law, regulations, and government-wide policies [2].

As the formal guidance across and within departments are still in development and refinement, this document attempts to do its best in providing a concise guide for the Government Microcircuit Applications and Critical Technology (GOMACTech) Conference.

II. PURPOSE

This document provides guidance regarding the usage and the process for establishing limited dissemination for CUI.

For information that is supported via a government contract, the USG is following the guidance for CUI. Since GOMACTech is inclusive of USG government departments and organizations, the conference is providing this document to help authors understand the current practices regarding CUI. Following these unified marking approaches allow for consistent information handling, clearer disseminating policies, and reductions in obstacles to sharing information.

Again, responsibility for correct markings resides with the author, sponsoring government organization and point of contact, and contracting requirements. Be aware of your proprietary information.

III. UNDERSTANDING INFORMATION MARKINGS

GOMACTech supports several specific information markings, indicating the extent of information’s availability for distribution, release, and disclosure.

A. Public Release Information

For public release information, please use the DoD technical information Distribution Statement A as indicated below [3]. The approval identification (ID) from the releasing authority needs to be included. If the material is supported via a grant or contract that explicitly states information is available for public release, please include the grant/contract number in place of the approval ID.

This marking makes it obvious that the materials have been reviewed and cleared for public sharing. Please place this statement in the footer of every page of the extended abstract or paper. For a presentation, please include this distribution statement in the footer of each slide.

DISTRIBUTION STATEMENT A. Approved for public release: distribution is unlimited. Approval ID: <XXXX-2022-XXXX>.

B. For CUI Information

CUI is information created by the USG or on behalf of the USG. The information in this section can be applied to extended abstracts (e.g. 2-page abstracts), full papers, and oral and poster presentations. *Please understand that this information below is to be treated as guidance based on the National Archives.*

The DoD has issued internal guidance [3][4][5], but because GOMAC extends beyond the DoD, we recommend the more general guidance originally issued by the National Archives.

1) CUI Marking and Dissemination List

GOMACTech is a closed conference for US persons only. A USG Department or its agencies is the controller of the CUI information; therefore, a government person must review and approve the paper. If a CUI contract with the USG has completed or expired, it is still best practice to contact and coordinate with the government PoC.

Fig. 1 contains an example of the CUI designation indicator that must be placed in the lower righthand corner of the first page of the paper, abstract, or presentation.

Controlled by: <Gov Agency>
Controlled by: <Lower-level Gov Agency/Gov Department>
CUI Category: <{CTI}, {CTI, EXPT}>
Distribution/Dissemination Control: DL ONLY
Dissemination List: FEDCON or U.S. Persons in physical attendance during GOMACTech-2024 Conference.
POC: <Gov PoC Name>, <Gov PoC Email>

Fig. 1: Example CUI Designation Indicator [3][5]

Controlled by: Insert the name of the USG Component.

Controlled by: Insert the name of the USG Office.

CUI Category: See section III.B.2).



Distribution/Dissemination Control: Use “DL ONLY”

Dissemination List: The custom Dissemination List selected for GOMACTech: “FEDCON or U.S. Persons in physical attendance during GOMACTech-2024 Conference.” will cover all the materials presented to include EAR- and ITAR-controlled work. *No other DL designation will be accepted.*

PoC: Government point of contact for the information/contracted work. (It is good practice to ensure that the telephone number and mailbox address are valid in case of personnel turnover.)

2) *CUI GUIDANCE*

Following the CUI guidance from [1-8], GOMACTech recommends the following CUI dissemination controls [7]

Limited Dissemination Control	Marking
Dissemination list controlled	DL ONLY
Federal employees and contractors	FEDCON
No foreign dissemination	NOFORN

and one or more of the following CUI categories [8]¹.

CUI Category	Marking
Controlled Technical Information	CTI
Export controlled research	EXPT

Headers and footers for CUI contain some particularities in their format of sections. CUI is typically listed first, followed by the **CUI category(ies)**, followed by the **CUI dissemination control(s)**. Each section is separated by double forward slashes (//) and with one forward slash (/) within each section.

i.e. CUI//Category Marking A/Category Marking B//Limited Dissemination Control A/Limited Dissemination Control B

In this subsection, examples of CUI markings are provided that may be typical for GOMACTech.

a) *Header and Footer for most GOMACTech Paper*

For most CUI GOMACTech papers, the recommended labeling at the top and bottom of every page is to include the **CUI//CTI//DL ONLY** marking centered on the page.

b) *Header and Footer for a Paper with EAR or ITAR information*

For papers that contain EAR or ITAR information, the recommended labeling the top and bottom of every page is to include the **CUI//CTI//EXPT//NOFORN/DL ONLY** marking centered on the page. EAR and ITAR information are not necessarily CUI; however, materials presented at GOMACTech are typically from USG-funded projects and efforts.

Additionally, the following warning statement (as is) needs to be included at the bottom of every page [5][9].

WARNING - This document contains technical data whose export is restricted by the Arms Export Control Act (Title 22, U.S.C., Sec 2751, et seq.) or the Export Control Reform Act of 2018 (Title 50, U.S.C., Chapter 58, Sec. 4801-4852). Violations of these export laws are subject to severe criminal penalties. Disseminate in accordance with provisions of DoD Directive 5230.25.

c) *Header and Footer for most GOMACTech Presentations*

For most CUI GOMACTech presentations, the recommended labeling at the top and bottom of every slide is to include the **CUI//CTI//DL ONLY** marking centered on the slide.

d) *Header and Footer for a Paper with EAR or ITAR Presentations*

For presentations that contain EAR or ITAR information, the recommended labeling at the top and bottom of every slide is to include the **CUI//CTI//EXPT//NOFORN/DL ONLY** marking centered on the page.

Additionally, the following warning statement (as is) needs to be included on the cover slide of the presentation [5][9].

WARNING - This document contains technical data whose export is restricted by the Arms Export Control Act (Title 22, U.S.C., Sec 2751, et seq.) or the Export Control Reform Act of 2018 (Title 50, U.S.C., Chapter 58, Sec. 4801-4852). Violations of these export laws are subject to severe criminal penalties. Disseminate in accordance with provisions of DoD Directive 5230.25.

TABLE I. attempts to provide a summary of the current cybersecurity guidance relating to various export-controlled considerations [10].

3) *Password Protection for Dissemination*

Please appropriately safeguard information and data. For abstracts and papers that are not approved for public release, please password-protect the PDF or DOCX file with AES-128-bit or higher encryption prior to submission.

IV. CONCLUSION

This guide is offered to the community to help protect Government information and attempts to provide repeatable, executable examples following multitudes of CUI guidance. The guidance is continually being updated; therefore, please provide any feedback you may have to help GOMACTech stay up to date. Please reach out to the current GOMACTech Publications Chair.

REFERENCES

[1] Executive Order 13556, “Controlled Unclassified Information,” (the Order), November 10, 2010. Available: <https://obamawhitehouse.archives.gov/the-press->

¹ For GOMACTech, “specified” labeling (“SP-”) is not currently available, following the DoDI guidance in [3]; however, the “basic” does offer the layer of protection.



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- office/2010/11/04/executive-order-13556-controlled-unclassified-information. (accessed Dec. 5, 2023).
- [2] 32 CFR Part 2002. “Controlled Unclassified Information,” (the Directive) September 14, 2016. [Online]. Available: <https://www.ecfr.gov/current/title-32/subtitle-B/chapter-XX/part-2002> (accessed Dec. 5, 2023).
- [3] Department of Defense, DoD Instruction 5200.48, Under Secretary of Defense (AT&L), Washington, DC, 2020. [Online]. Available: <https://www.esd.whs.mil/Portals/54/Documents/DD/issuances/dodi/520048p.PDF>. (accessed Dec. 5, 2023).
- [4] Department of Defense, DoD Instruction 5230.24, Under Secretary of Defense (AT&L), Washington, DC, 2023. [Online]. Available: <https://www.esd.whs.mil/Portals/54/Documents/DD/issuances/dodi/523024p.pdf>. (accessed Dec. 5, 2023).
- [5] Department of Defense, DoD Directive 5230.25, “Withholding of Unclassified Technical Data from Public Disclosure,” October 15, 2018, as amended. Available: <https://www.esd.whs.mil/Portals/54/Documents/DD/issuances/dodd/523025p.pdf> (accessed Dec. 5, 2023).
- [6] National Archives, “Controlled Unclassified Information (CUI).” [archives.gov](https://www.archives.gov/cui). <https://www.archives.gov/cui> (accessed Dec. 5, 2023).
- [7] National Archives, “CUI Registry: Limited Dissemination Controls.” [archives.gov](https://www.archives.gov/cui/registry/limited-dissemination). <https://www.archives.gov/cui/registry/limited-dissemination> (accessed Dec. 5, 2023).
- [8] National Archives, “CUI Categories.” [archives.gov](https://www.archives.gov/cui/registry/category-list). <https://www.archives.gov/cui/registry/category-list> (accessed Dec. 5, 2023).
- [9] DoD CUI Program, “Export Controlled.” [dodcui.mil](https://www.dodcui.mil). <https://www.dodcui.mil/Export-Control/Export-Controlled/> (accessed Dec. 6, 2023).
- [10] Compliance Forge, “Cybersecurity Requirements: ITAR vs EAR vs FAR vs DFARS.” complianceforge.com. <https://complianceforge.com/nist-800-171/itar-vs-ear-vs-far-vs-dfars-cui-cmmc/> (accessed Dec. 5, 2023).

TABLE I. CYBER SECURITY REQUIREMENTS [10]

Regulation	Authority	Stakeholder	Primary Focus	Specifies
International Traffic in Arms (ITAR)	22 CFR Parts 120-130	U.S. Department of State (DDTC)	United States Munitions List (USML)	Protection of defense-related articles and services
Export Administration Regulations (EAR)	15 CFR Parts 730-774	U.S. Department of Commerce (BIS)	Commerce Control List (CCL)	Protection of commercial and dual-use items, information, and technology
Controlled Unclassified Information (CUI) Program	EO 13556	U.S. National Archives (NARA)	Controlled Unclassified Information (CUI)	CUI categories and protection requirements
Federal Acquisition Regulation (FAR)	52.204-21	General Services Administration (GSA) U.S. Department of Defense (DoD) National Aeronautics and Space Administration (NASA)	Federal Contract Information (FCI)	15 basic cybersecurity requirements
Defense Federal Acquisition Regulation Supplement (DFARS)	252.204-7012 252.204-7021	U.S. Department of Defense (DoD)	Controlled Unclassified Information (CUI)	NIST SP 800-171 implementation Cybersecurity Maturity Model Certification (CMMC)

*This is a living document and should be changed as necessary.